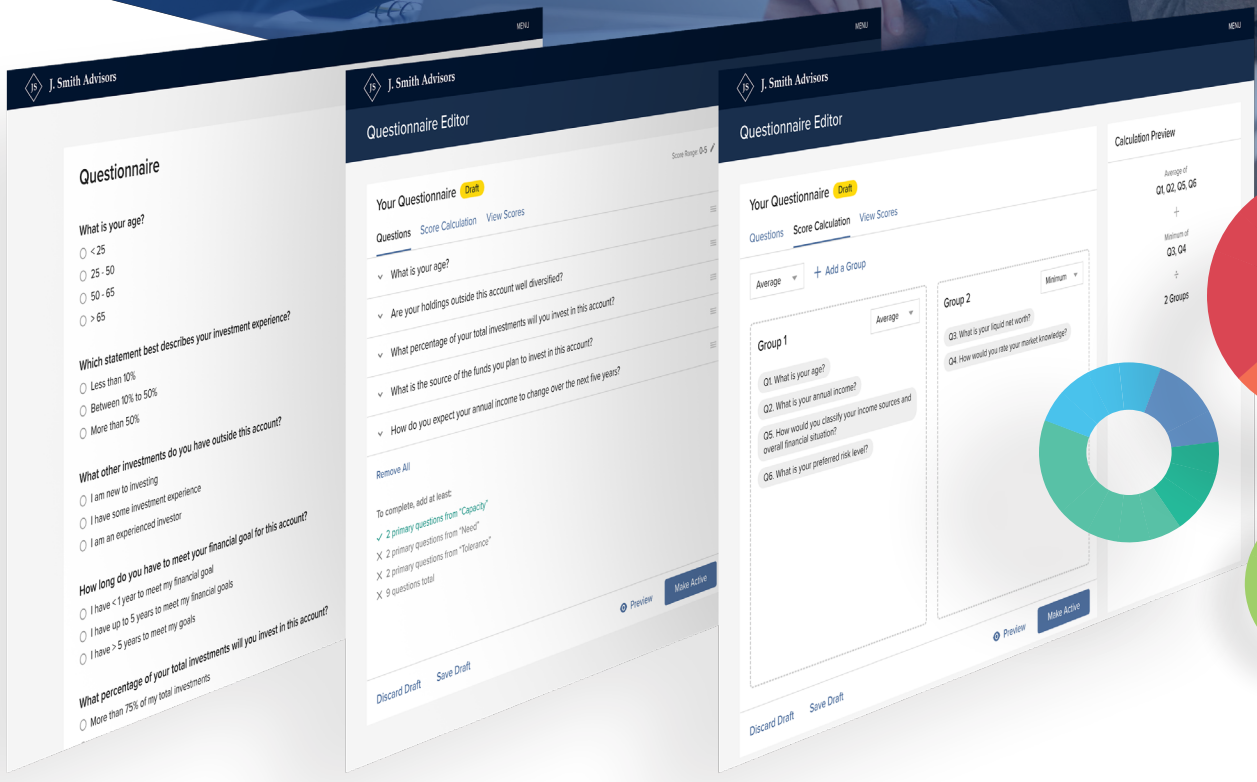


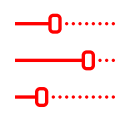
IBKR Client Risk Profile

Invest confidently by knowing your client's risk tolerance.



Find The Right Fit

Determine the right mix of assets for your clients by assessing risk tolerance, need and capacity. Our risk profile tool monitors for changes in risk scores and reflects current information to help you make appropriate investment decisions.



Tailored to Your Practice

Get the answers that matter most with our customizable, white-branded questionnaire and flexible scoring system. Pick from over 50 questions to get exactly the information you need and configure the risk scoring to reflect how you run your practice.

A Fully Integrated Solution

Our risk scores are fully integrated with our Trader Workstation trading platform making it easy to go from asking questions to investing client assets.

Create allocation groups and profiles based on risk scores and other filters to quickly place trades or invest clients with similar risk profiles into models right in TWS.

If you use our model portfolio technology, you can assign a score range to each model and our system will warn you if a client invested in the model has a score outside the range.

John
4.5



Anna
4.2



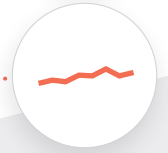
Lucy
3.6



Eric
3.3



Matt
2.5



Manage More Than Just Money

Our platform lets you easily review clients' risk scores alongside customizable portfolio reports from our PortfolioAnalyst tool so you can manage client expectations for their future, not just their money.

Meeting Your Obligations

Our risk profile tool helps you with your fiduciary duty to determine the suitability of investments and advice for your clients whether you're an SEC or state-registered advisor.

Contact our Financial Advisor Services team to add this feature for your account.

Interactive Brokers LLC is a member of NYSE/FINRA/SIPC.

Under federal and state laws and regulations, investment advisers have a fiduciary duty to act in their clients' best interests and provide only investment advice that is "suitable" for the client, based on the clients' financial situation, investment objectives and risk tolerance. Many advisors use a risk questionnaire to determine the clients' investment objectives and risk tolerance. As an investment adviser to your clients, you are solely responsible for determining your clients' suitability profile. The availability of this tool does not relieve you of your suitability obligations and does in no way transfer or delegate that responsibility to the Interactive Brokers Group or any of its affiliates. By making this tool available, the Interactive Brokers Group is not providing you with legal or compliance advice. This tool is provided as an informational and educational tool and as a courtesy for advisers using our platform. You should consider any additional information your clients provide outside of the questionnaire in determining your clients' risk profile. You may also wish to consult with a legal or compliance professional to confirm that this tool meets your compliance needs.